

How to Monitor your EFIN



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North Jersey Working Together Conference
Seton Hall University

Protect your EFIN

- IRS reviewing improvements to EFIN safeguards
 - Stepped up efforts to expel EFIN abusers;
 - Increased on-site visits as part of monitoring process
- EFIN holders should review return numbers during filing season
 - e-Services Account updated weekly
 - Excessive numbers can be reported to e-Help Desk (866-255-0654)



Access e-Services

Tax Professionals

- Enrolled Agents
- Annual Filing Season Program Participants
- Enrolled Retirement Plan Agents
- Certified Professional Employer Organizations (CPEO)
- Enrolled Actuaries
- E-File Providers
- Modernized e-File

Popular

- [Forms, Publications and Instructions](#)
- [EIN](#)
- [ITIN](#)
- [Refund](#)
- [Pay](#)
- [ACA](#)

- [Power Of Attorney \(POA\)](#)
- [Notice or Letter](#)
- [Fraud or Scam](#)
- [Where to File](#)
- [Research Guidance](#)
- [Identity Theft](#)

e-Services

Get access to Transcript Delivery System, TIN Matching, e-file and ACA Applications.

Access e-Services

PTIN System

Status: ● Online

Renew or Register

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Click Login or Register

e-Services - Online Tools for Tax Professionals

Registration Services

All tax professionals and payers who wish to use e-Services must register online to create an individual user account. Registration Services allows you to confirm your registration, revise your information, change your password or PIN and recover a lost password or PIN.

GO

e-file Application

Use our online e-file Application to [become an authorized e-file provider](#). Learn more about IRS e-file that's [required for most tax return preparers](#).

It is only through an approved e-file application that tax professionals, who are [Electronic Return Originators \(EROs\)](#), [Circular 230 Practitioners](#), or [Reporting Agents \(RAs\)](#), can gain access to the Transcript Delivery System. EROs must e-file five or more returns in a tax season to be eligible. Circular 230 Practitioners need only apply and be approved.

GO

Click on Application

Internal Revenue Service
United States Department of the Treasury

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services

- [Application](#)
- [Remove Affiliation](#)
- [Reporting Agent e-Services](#)
- [Transcript Delivery System](#)
- [Registration Services](#)

Welcome to IRS e-services

Your name and firm you are representing.

[Application](#)
Access to apply or revise an existing application on-line for participation in IRS *e-file* Program or Taxpayer Identification Number (TIN) Matching.

[Remove Affiliation](#)
Provides access to the Firm Disassociation page that will allow you to remove your affiliation to the *e-file* firm or organization you selected. Disassociating yourself from the *e-file* application will remove your name from each of the *e-file* applications shown and will eliminate all authority that resulted from your affiliation.

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Click on e-file Application

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services

- [Application](#)
- [Remove Affiliation](#)
- [Reporting Agent e-Services](#)
- [Transcript Delivery System](#)

[e-file Application](#)
The Application to participate in the IRS *e-file* Program can be submitted on-line. The IRS will review your application information and supply a written confirmation as to your acceptance or rejection into the program. You may use this either to create a new Application or to revise an existing one.

[ACA Application for ICC](#)
The application to participate in IRS Affordable Care Act electronic filing of information returns is available on-line. The IRS will review the information submitted on your application and send an acceptance or rejection letter. You may use this link to create a new application or to revise an existing one.

[New TIN Matching Application](#)
Payers may apply for Taxpayer Identification Number (TIN) Matching, an on-line product which allows you to submit TIN/Name combinations for verification against IRS records.

e-services Privacy Policy

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Top of e-File Application Menu Page

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e-file Application

Name: **Your Firm** Employer Identification Number: **55-5555555**
(EIN):

You are about to revise the application for your Firm/Organization. If your application has been submitted and been accepted by the IRS you may have to re-submit your application for review based on your revisions. Not all changes will require you to re-submit the application for review.

Select the area of the application you want to enter, revise or view information about:

- [Add New Location](#)
- [Firm Name & Business Type](#)
- [Firm/Organization Address](#)
- [Principal\(s\)](#)
- [Responsible Official\(s\)](#)
- [Application Contact\(s\)](#)
- [Program\(s\) Applying For](#)
- [Form\(s\)](#)
- [ETIN Status](#)

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Bottom of E-file Application Menu Page

[ETIN Status](#) ←

[Delegated User\(s\)](#)

[Application Status](#)

[Application Summary](#)

[Personal Information](#)

[Application Comments](#)

[Application Submission](#)

[Self Certification](#)

When you have finished e-file application Menu, you may do any of the following:

- Select *Previous* to go back to the ETIN Status page.
- Select *Save* to save all changes made.
- Select *Cancel* to exit the application.
- Select *Return to Search* to return to Search e-file Application.

[e-services Privacy Policy](#)

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e-file Application : EFIN Status

Name: **Your Firm** Employer Identification Number (EIN): **55-55555**

Listed below are the EFIN(s) Electronic Filing Identification Number(s) and their statuses that have been assigned to this application.

EFIN	EFIN Status	Begin Date/Time
1 55555	Valid/Active	10/26/2012 4:09:27PM

Do you own or operate Web site(s) through which taxpayer information is collected, transmitted, stored, or processed? Yes No

If you own or operate Web site(s) through which taxpayer information is collected, transmitted, stored, or processed you are required to register their URLs with us. If you own or operate any such Web site that you have not previously registered with us, select the URL Collection link on the Application Information page menu to register now.



Bottom of EFIN Status Page

Electronic Return Originator (ERO) Activity by EFIN/Return Type
The activity shown below by EFIN and Return Type represents the total YTD counts for returns submitted electronically to the IRS.

EFIN	Return/Form Type	Processing Year	Transmitted YTD	Accepted YTD	Rejected YTD
1	1040	2016	51	50	1
2	1041	2016	9	9	0
3 55555	1065	2016	12	12	0
4	1120	2016	10	10	0
5	1120S	2016	10	10	0

- Too many returns filed with your EFIN? Contact e-Help Desk (866) 255-0654



Monitoring your PTIN



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Monitor Your PTIN for Suspicious Activity

- Monitor "Returns Filed per PTIN"
- Information available via online PTIN system for tax preparers who meet both of the following criteria:
 - Have a professional credential or are an Annual Filing Season Program participant, **and**
 - Have at least 50 Form 1040 series tax returns processed in the current year



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How to Access PTIN Information

To access “Returns Filed Per PTIN” information, follow these steps:

1. Log into your PTIN account
2. From the Main Menu, find “Additional Activities”
3. Under Additional Activities, select “View Returns Filed Per PTIN”



Returns Filed per PTIN Chart

Returns Filed per PTIN

See the chart below for the number of tax returns with your PTIN processed by the IRS **this year**. The data is updated weekly and includes only Form 1040 series returns **processed** through the date specified.

If the number is **substantially higher** than the number of tax returns you’ve prepared and you suspect possible misuse of your PTIN, complete [Form 14157](#).

Note: A minimum of 50 returns must be processed for data to be shown. At this time the data is only available for enrolled agents, CPAs, attorneys, and participants in the IRS Annual Filing Season Program, but will be expanded to other PTIN holders in the future.

Definitions:

- Processing Year: the current calendar year
- Tax Year: the tax year of the returns
- 1040s Processed: includes **only** 1040 series returns (1040, 1040-PR, 1040-SS, 1040A, 1040EZ, 1040EZ-T, 1040NR, and 1040NR-EZ)

140 Returns as of 06/27/2016

Processing Year	Tax Year	1040s Processed
2016	2015	90
	2014	47
	2013	1



Report Misuse of your PTIN

- Number of returns processed is greater than the number of returns prepared = possible misuse of a PTIN
- Report misuse of PTINs:
 - Form 14157, *Complaint: Tax Return Preparer*



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Report Misuse of your PTIN

11a. Review the complaints below and check all that apply

- Theft of Refund** (Diverted refund to unknown account, return filed does not match taxpayer's copy)
- E-File** (e-filed returns using pay stub, non-commercial software or Free File without properly securing taxpayer's signature)
- Preparer Misconduct** (Failed to provide copy of return, return records, sign returns or remit payments for taxes due; misrepresentation of credentials; agreed to file return but did not, filed return without authorization or consent.)
- PTIN Issues** (Failed to include Preparer Tax Identification Number (PTIN) on tax return; improperly used a PTIN belonging to another individual)
- False Items/Documents** (False expenses, deductions, credits, exemptions or dependents; false or altered documents; false or overstated Form W-2 or 1099; incorrect filing status)
- Employment Taxes** (Failed to file forms 940, 941, 943, or 945 or remit Employment Tax payment)

Other (explain below)

I checked my PTIN return numbers from IRS.gov and there is a discrepancy

Section D - Your Information (do not complete if you are the taxpayer)

(We never share this information with the person or business you are reporting)

This information is not required to process your complaint but is helpful if we need to contact you for additional information.

18. Name (Last, First, MI)		19. Date of complaint	
20. Mailing address (street, city, state, ZIP code)		21. Telephone number(s) (include area code)	
		22. Email address	
23. Your relationship to Preparer			
<input type="checkbox"/> Client		<input type="checkbox"/> IRS employee	
<input type="checkbox"/> Return preparer working for a different firm*		<input checked="" type="checkbox"/> Other (specify) <u>Self</u>	
<input type="checkbox"/> Return preparer working for the same firm*			



Contact Information

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